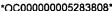




#### **FORMALITIES LETTER**







### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/576,621

05/23/2000

Ryuji Ishiguro

**SONY-T0608** 

Charles P sammut Esq Limbach & Limbach LLP 2001 Ferry Building San Francisco, CA 94111-4262



Date Mailed: 07/31/2000

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The oath or declaration is unsigned.

• To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2000 SDENBOB1 00000011 09576621

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Patent Application of |                        | ) | Group Art Unit: 2766  |
|-----------------------------|------------------------|---|---|
| RYUJI ISHIGURO ET AL.       |                        | ) | Examiner: Not Assigned  |
| Appln. No. 09/576,621       |                        | ) | RESPONSE TO NOTICE TO FILE MISSING                                    |
| Filed: May 23, 2000         |                        | ) | PARTS OF APPLICATION AND SUBMISSION OF COMBINED POWER OF ATTORNEY AND |
|                             | ,,,                    | ) | DECLARATION   |
| For:                        | INFORMATION PROCESSING | ) |   |
|                             | APPARATUS, INFORMATION | ) |   |
|                             | PROCESSING METHOD, AND | ) | 2001 Ferry Building   |
|                             | PROGRAM STORAGE MEDIUM | ) | San Francisco, CA 94111   |
|                             |                        | ) | 415/433-4150  |
|                             |                        |   |   |

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed July 31, 2000 (copy enclosed), applicant submits the enclosed Combined Declaration for Patent Application and Power of Attorney.

A check in the amount of \$130.00 is enclosed herewith to cover the surcharge for filing missing parts of an application.

Also enclosed is a Request for Correction of the Official Filing Receipt.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

October 2, 2000 (Date)

SONY-T0608 (S00P0608US00) By:

Charles P. Sammut

Registration No. 28,901

Attorneys for Applicant(s)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on October 2, 2000.

LIMBACH & LIMBACH L.L.P.

Date: October 2, 2000

Name: Susan Ozanne



Sector

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Group Art Unit: 2766 RYUJI ISHIGURO ET AL. Examiner: Unknown Appln. No.: 09/576,621 REQUEST FOR CORRECTION OF THE OFFICIAL FILING Filed: May 23, 2000 RECEIPT For! INFORMATION PROCESSING 2001 Ferry Building APPARATUS, INFORMATION San Francisco, CA 94111 415/433-4150 PROCESSING METHOD, AND PROGRAM STORAGE MEDIUM **CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 10/100

LIMBACH & LIMBACH L.L.P.

Date: 10/2/00

Name:

SUSAN OZANNĚ

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Attached is a copy of the Official Filing Receipt which was received in our offices on August 22, 2000 in connection with the above-identified application.

The Official Filing Receipt is in error. Please amend the receipt as shown in red ink on the attached copy as follows:

Please correct the second inventor's name to read: TAKUMI OKAUE

In the title, please correct the word "methdo" to read --METHOD--

PATENT

Please forward the amended Official Filing Receipt to:

Charles P. Sammut Limbach & Limbach L.L.P. 2001 Ferry Building San Francisco, CA 94111

In the event it is determined that a fee pursuant to 37 C.F.R. § 1.19(h) is required in connection with this request, the Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 12-1420. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
LIMBACH & LIMBACH L.L.P.

Date: Och. 2, 2000 By:

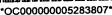
Charles P. Sammut Reg. No. 28,901

Attorneys for Applicant(s)

Attorney Docket No. SONY-T0608 (S00P0608US00)



# FILING RECEIPT





## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT<br>CLAIMS | IND CLAIMS |  |
|--------------------|-------------|--------------|---------------|----------------|----------|---------------|------------|--|
| 09/576.621         | 05/23/2000  | 2766         | 690           | SONY-T0608     | 10       | 5             | 3          |  |

Charles P sammut Esq. MMM Limbach & Limbach LLP 2001 Ferry Building San Francisco, CA 94111-4262

RECEIVED

AUG 2 2 2000

LIMBACH & LIMBACH LLP

Date Mailed: 07/31/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

| another Filing Receipt incorporating the requeste   | d corrections (if appro           | phriatej.                         |
|---|-----------------------------------|-----------------------------------|
| Applicant(s)  |                                   | •                                 |
| Ryuji Ishiguro, Tokyo, JAPAN;  Takum Okaue, Kanagawa, JAPAN;  Tateo Ooishi, Saitama, JAPAN; |                                   | ,                                 |
| Continuing Data as Claimed by Applicant   | PRIOR ART ST                      | ATEMENT DUE:                      |
| Continuing Sata as Claimed 2, 14pp  | FOREIGN FILIN                     | G LETTER DUE:                     |
| Foreign Applications  |                                   | /                                 |
| JAPAN P11-152060 05/31/1999   | FOREIGN FILIN                     | G DEADLINE:                       |
| JAPAN P2000-141189 05/15/2000   | 10 MONTH CII                      | SPENSE DATE: 11/23/0/             |
| If Required, Foreign Filing License Granted 07/3  |                                   | on cel                            |
| -   |                                   |                                   |
| Title Information processing apparatus, inf   | formation processing <del>m</del> | ethdo, and program storage medium |
| Preliminary Class   |                                   |                                   |
| 380   |                                   |                                   |
| Data entry by : SCOTT, JOSEPH   | Team : OIPE                       | Date: 07/31/2000                  |

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

RYUJI ISHIGURO ET AL.

Appln. No. 09/576,621

Filed: May 23, 2000

For:

INFORMATION PROCESSING APPARATUS, INFORMATION PROCESSING METHOD, AND PROGRAM STORAGE MEDIUM

Group Art Unit: 2766

Examiner: Not Assigned

SUBMISSION OF FORMAL DRAWINGS

2001 Ferry Building San Francisco, CA 94111

415/433-4150

Attention: Official Draftsman

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Enclosed for filing in the above-identified application are ten (10) sheets of formal drawings comprising Figures 1 through 10.

Respectfully submitted,

LIMBACH & LIMBACH L.L.P.

October 2, 2000 (Date)

**SONY-T0608** (S00P0608US00) By:

Charles P. Sammut

Registration No. 28,901

Attorneys for Applicant(s)

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attn: Official Draftsman, Assistant Commissioner for Patents, Washington, D.C. 20231, on October 2, 2000.

LIMBACH & LIMBACH L.L.P.

Susan Ozanne